

Section

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
LI ET AL.)
Serial No. 09/981,048/) Atty Docket No.
Filing Date: OCTOBER 16, 2001) 78036 20-3 US
Confirmation No. 6795) Art No. 2151
For: COMPACT OPTICAL)
MULTIPLEXER/DIMULTIPLEXER)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts
mailed November 16, 2001, Applicants enclose the following:

- 1) Surcharge of \$130.00 for large entity.
- 2) Declaration and Power of Attorney.
- 3) Assignment to JDS Uniphase Corporation,
together with \$40 recordal fee and cover page.
- 4) Copy of "Notice to File Missing Parts of
Application--Filing Date Granted" mailed
November 16, 2001.

In re Patent Application of:

LI ET AL.

Serial No. 09/981,048

Filed: OCTOBER 16, 2001

Authorization is given to charge Deposit Account 50-1465 in the amount of \$130.00 for the surcharge and \$40.00 for the assignment recordal fee. If any additional extension and/or fee is required, or if any additional fee for claims is required, charge Account No. 50-1465.



27975

PATENT TRADEMARK OFFICE

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'Charles E. Wands', written over a horizontal line.

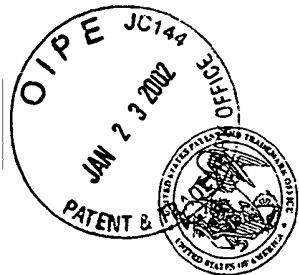
CHARLES E. WANDS

Reg. No. 25,649

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, PO BOX 2327, ARLINGTON, VA 22202, on this 15 day of January, 2002.

A handwritten signature in black ink, appearing to be 'Murphy', written over a horizontal line.



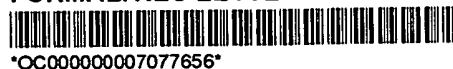
UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/981,048	10/16/2001	Wu Li	78036 20-3US

CONFIRMATION NO. 6795

FORMALITIES LETTER



27975
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1401 CITRUS CENTER 255 SOUTH ORANGE AVENUE
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ORLANDO, FL 32802-3791

Date Mailed: 11/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

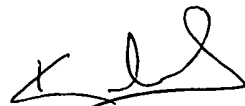
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

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A. D. D. M. & G.